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**TECHNICAL SPECIFICATIONS
FOR
THE FORMAT FOR
THE LISTS OF APPROVED OR REGISTERED
ESTABLISHMENTS, PLANTS OR OPERATORS
HANDLING ANIMAL BY-PRODUCTS
INSIDE THE EUROPEAN UNION AND IN THIRD
COUNTRIES**

Working document

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the views of the Commission services**

Presented at the meeting of the Standing Committee on Plants, Animals, Food and Feed
(PAFF Committee) on 17 June 2021

**TECHNICAL SPECIFICATIONS FOR THE FORMAT FOR
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In accordance with the Animal By-products Regulation (EC) No 1069/2009¹ and Commission Implementing Regulation (EU) 2019/1715 (the IMSOC Regulation)², Member States shall make available lists of approved or registered establishments, plants or operators which are handling animal by-products ("ABP"). The Regulation also provides that lists of establishments or plants approved or registered by the competent authority of a third country from where animal by-products or derived products are exported or sent in transit to the European Union, shall be communicated to the Commission and the Member States and shall be made available to the public.

The objective of this document is to provide for a format for the presentation of such lists.

It should be noted that the presentation of such lists should take full account of data protection legislation.

Annex I to this document sets up technical specifications for Member States:

Chapter I sets up the plant sections following the content of the Articles in Regulation (EC) No 1069/2009.

Chapter II lists, where necessary, the category of plants in each section. The lists of the categories of plants are non-exhaustive lists.

Chapter III provides a model layout for the listing of plants.

Chapter IV and V set out codes and legends to be used when completing a list of plants with regard to codes and legends of activities and of product types.

Annex II to this document sets up technical specifications for third countries from where animal by-products or derived products are exported or sent in transit to the European Union.

¹ Regulation (EC) No 1069/2009 of the European Parliament and of the Council of 21 October 2009 laying down health rules as regards animal by-products and derived products not intended for human consumption and repealing Regulation (EC) No 1774/2002 (Animal by-products Regulation)(OJ L 300, 14.11.2009, p. 1).

² Commission Implementing Regulation (EU) 2019/1715 of 30 September 2019 laying down rules for the functioning of the information management system for official controls and its system components (the IMSOC Regulation) (OJ L 261, 14.10.2019, p. 37).

ANNEX I

TECHNICAL SPECIFICATION FOR THE COMPETENT AUTHORITIES OF MEMBER STATES

CHAPTER I

FORMAT OF THE MASTER LIST³

'EU APPROVED OR REGISTERED ABP ESTABLISHMENTS, PLANTS OR OPERATORS	
SECTION I	Establishments or plants handling animal by-products to carrying out intermediate activities after their collection and plants storing animal by-products
SECTION II	Establishments or plants for the storage of derived products
SECTION III	Incineration/ co-incineration/ combustion plants
SECTION IV	Processing plants
SECTION V	Oleo-chemical plants
SECTION VI	Biogas plants
SECTION VII	Composting plants
SECTION VIII	Petfood plants
SECTION IX	Establishments or plants handling animal by-products or derived products for purposes outside the feed chain

³ *Note for the webmaster:*
The numbered section below shall be used as a code in all language versions. However, the title of each section may be expressed in at least one official language of the European Union.

SECTION X	Registered users of animal by-products and derived product for specific purposes
SECTION XI	Collection centres
SECTION XII	Establishments or plants manufacturing organic fertilisers or soil improvers
SECTION XIII	Other registered operators

CHAPTER II

OPERATORS AND CATEGORIES OF ABP PLANTS

- SECTION I** Establishments or plants handling animal by-products in order to carry out intermediate activities referred to in Article 24(1)(h) of Regulation (EC) No 1069/2009 after their collection and plants storing animal by-products referred to in Article 24(1)(i) of Regulation (EC) No 1069/2009
- SECTION II** Establishments or plants for the storage of derived products (Article 24(1)(j) of Regulation (EC) No 1069/2009)
- SECTION III** Incineration/ co-incineration/ combustion plants (Article 24(1)(b), (c) and (d) of Regulation (EC) No 1069/2009)
1. Incineration plants
 2. Co-incineration plants
 3. Combustion plants
- SECTION IV** Processing plants (Article 24(1)(a) of Regulation (EC) No 1069/2009)
1. Category 1 processing plants
 2. Category 2 processing plants
 3. Category 3 processing plants
- SECTION V** Oleo-chemical plants (Article 23 of Regulation (EC) No 1069/2009)
- SECTION VI** Biogas plants (Article 24(1)(g) of Regulation (EC) No 1069/2009)
- SECTION VII** Composting plants (Article 24(1)(g) of Regulation (EC) No 1069/2009)

SECTION VIII Petfood plants (Article 24(1)(e) of Regulation (EC) No 1069/2009)

SECTION IX Establishments or plants handling animal by-products or derived products for purposes outside the feed chain (Article 23 of Regulation (EC) No 1069/2009)

1. Blood and blood products
2. Blood and blood products from equidae
3. Hides and skins and products thereof, tanning activities
4. Game trophies, trophies for taxidermy purposes and other preparation
5. Wool, hair, pig bristles, feathers, parts of feathers and down,
6. Apiculture by-products
7. Bone, bone products, horns, horn products, hooves, hoof products not used as organic fertilisers or soil improvers
8. Milk, milk-based products, milk-derived products, colostrum, colostrum-based products
9. Operators approved for the use of containment methods (Chapter V of Annex IX of Regulation (EU) No 142/2011)

SECTION X Registered users of animal by-products and derived products for specific purposes as referred to in Articles 17(1), 18(1) and 18(2) of Regulation (EC) No 1069/2009, which are registered in accordance with Article 23 of Regulation (EC) No 1069/2009

1. Use for diagnostic, educational and research purposes
2. Use for zoo/circus animals
3. Use for necrophagous birds
4. Use for other wild animals

5. Other special feeding uses referred to in Article 17 and 18 of Regulation (EC) No 1069/2009

SECTION XI Collection centres (Article 23 of Regulation (EC) No 1069/2009)

SECTION XII Establishments or plants manufacturing organic fertilisers or soil improvers, and growing media referred to in Article 24(1)(f) of Regulation (EC) No 1069/2009

SECTION XIII⁴ Other registered operators

1. Establishments or plants placing on the market cosmetic products
2. Establishments or plants placing on the market active implantable medical devices
3. Establishments or plants placing on the market medical devices
4. Establishments or plants placing on the market in vitro diagnostic medical devices
5. Establishments or plants placing on the market veterinary medicinal products
6. Establishments or plants placing on the market medicinal products
7. Establishments or plants handling intermediate products
8. Registered transporters
9. Registered traders
10. Milk processing plants authorised for derogation on placing only on national market or cross border areas registered in accordance with Article 21(2) of Regulation (EU) No 142/2011 and Article 23 of Regulation (EC) No 1069/2009
11. Plants authorised for derogation as regards placing only on national market or cross border areas registered in accordance with Article 23 of Regulation (EC) No 1069/2009

⁴ In the case of operators already registered in other databases indicate only link to the database

12. Other registered operators

CHAPTER III

LAYOUT FOR INDIVIDUAL LISTS OF APPROVED OR REGISTERED PLANTS AND OPERATORS⁽¹⁾

Last amendment of this list: xx.xx.xxxx

SECTION xxx

[Title of the section⁽²⁾]

Approval/Registration number ⁽¹⁰⁾	Name	Address/Town/Region ⁽³⁾	Category ⁽⁴⁾	Activities ⁽⁵⁾⁽¹⁰⁾	Product types ⁽⁵⁾	Associated activities ⁽⁶⁾	Remarks ⁽⁷⁾⁽⁸⁾⁽¹¹⁾⁽¹²⁾	CHAN ⁽⁹⁾ procedure	TSE feed ban registration authorizations ⁽¹³⁾

- (1) One list per section.
- (2) As specified in Chapter II of Annex I.
- (3) Including postal code.
- (4) Category for which the plant is approved or registered to use (Category 1, 2 or 3 as defined in Regulation (EC) No 1069/2009).
- (5) For each type of activity carried out and for each type of products generated by the approved plant use codes defined in Chapter IV and V as appropriate.
- (6) For each other type of activity and products generated on the same site as or by a physically connected plant to the approved plant use codes defined in Chapter IV and V or, where appropriate, categories or codes in Annex II (categories of food establishments) and V (codes and legends) of the technical specifications agreed for food production plants. Plants carrying out more than one approved activity shall be listed in each list concerned.
- (7) Where appropriate.

- (8) Indicate "NAT" for plants, which are producing/transforming products as per national standards – not eligible for trade to other MS.
- (9) Indicate "CHAN" for an establishment listed under Regulation (EC) No 1069/2009, in case this establishment may also handle products subject to conditions for transport and monitoring in accordance with Commission Delegated Regulation (EU) 2019/1666 (former channelling procedure in accordance with Article 8 (4) and (6) of Directive 97/78/EC).
- (10) In the case of activity under the code UFUR the columns other than "Approval/Registration number" and "Activity" are only voluntary.
- (11) Indicate the end point in the manufacturing chain if notified.
- (12) In case of FATF, GEL, COL, BLP, BLM, DCAP, FIM, TCAP, HYDP, PAPOTH and PAP specify the species in accordance with specifications in the Commercial document.
- (13) This column should be fulfilled only if the Member State has chosen "Option 2" of the Technical specifications for harmonised format for the listing of establishments in accordance with Annex IV to Regulation (EC) No 999/2001, using codes laid down in https://ec.europa.eu/food/food/biological-safety/food-borne-diseases-zoonoses/control-tses/list-establishments_en

CHAPTER IV CODES AND LEGENDS: ACTIVITIES

ABBREVIATION	ACTIVITIES
BIOGP	Biogas plants
COLC	Collection Centre
COLL	Collection
CoIP	Co-incineration plants
COMP	Composting plants
COMBP	Combustion plants
CONTMT	Operators approved for the use of containment methods
FEEDP	Feed plant ⁵
GATRP	Game Trophies Plant
INCP	Incineration plants
INTP	Establishment or plants authorised for certain intermediate activities referred to in Article 24(1)(h) of Regulation (EC) No 1069/2009 after collection
OLCP	Oleo-chemical Plant
OALKHP	Alternative processing method: alkaline hydrolysis process plant
OBIODP	Alternative processing method: biodiesel production process plant
OBRGAP	Alternative processing method: brookes gasification process plant
OCAFTB	Alternative processing method: combustion of animal fat in a thermal boiler process plant
OHPHBP	Alternative processing method: high pressure hydrolysis biogas process plant
OHPHTP	Alternative processing method: high pressure high temperature hydrolysis process plant
OIEFP	Alternative processing method: Ensilage of fish material processing plant
OMSCHy	Alternative method: Multiple-step catalytic hydro-treatment for the production of renewable fuels
OLTPPM	Alternative processing method: Lime treatment for pig and poultry manure plant
OMSCP	Alternative processing method: Multi-step catalytic processes for the production of renewable fuels plant
OTMB	Alternative processing method: thermomechanical biofuel production

⁵ Feed plants using processed animal protein

	process plant
OFSIBU	Distributors of organic fertilisers or soil improvers in bulk
OFSIPA	Distributors of packed organic fertilisers or soil improvers
OFSIPP	Plant for the manufacturing organic fertilisers or soil improvers from derived products
OTHER	Other activities
PETPP	Petfood Plant using only processed ABP
PETPR	Petfood plant using raw ABP
PHAR	Pharmaceutical activity (incl. all types of medical devices)
PROCP	Processing Plant
RETAIL	Retail
STORP	Storage Plant
TAN	Tannery
TECH	Use for technical products other than specified elsewhere
TRADE	Registered traders (buy/sell ABP/DP without physical possession of the goods), and forwarding agencies (arranging logistics and administration of transport) in case of export to non-EU countries and import from non-EU countries
TRANS	Transport of ABP and derived products
UCOSM	Use for cosmetic products
UDOG	Use for dogs recognised kennels or packs of hounds and dogs and cats in shelters
UDER	Use for diagnostic, education and/or research (including testing) (please specify in remarks column)
UFERT	Use for organic fertilisers and soil improvers
UFUR	Use for fur animals
UINSE	Use for insects (including maggots and worms) for fishing bait
UNEC	Use for necrophagous birds
URBP	Use for reptiles and birds of prey
UWILD	Use for wild animals
UZOO	Use for zoo/circus animals
UEXART	Use for exhibitions and/or artistic activities (please specify in remarks column)

CHAPTER V

CODES AND LEGENDS: PRODUCT TYPES

ABBREVIATION	PRODUCT TYPES
APIFAR	Apiculture by-products for apiculture activities
APITEC	Apiculture by-products for technical uses
BAIT	Fishery baits
BHHP	Bones, horns, hooves and products thereof and antlers
BIOG	Biogas
BIOD	Biodiesel
BIOR	Digestion residues from biogas production other than biogas
BLM	Blood meal (Category 3; indicate animal species)
BLPF	Blood products for feed(Category 3; indicate animal species)
BLPT	Blood products for technical purposes(indicate animal species)
CAD	Whole bodies of dead animals (cadavers) other than pet animals
CADPET	Cadavers of pet animals
COL	Collagen
COMR	Compost after composting, including spent mushroom compost containing or composed of , the residue waste generated by the mushroom production industry
COSM	Cosmetic products
CATW	Catering waste
CSSM	Centrifuge or separator sludge from milk processing plants
DP	Derived products
DCAP	Dicalcium phosphate
DTC	Digestive tract content
EGG	Egg Products
FERT	Organic fertilizers/soil improvers other than COMR, BIOR, MANU, MANP, OFSIBU, OFSIPA
GROWME	Growing media
GUANO	Non - mineralized processed guano of birds and bats
OFSIBU	Bulk OFSI
OFSIPA	Packed OFSI
FATB	Fat balls for birds
FATOT	Rendered fats and fish oil for purposes other than for feeding or

	for oleochemical purposes
FATOL	Rendered fat and fish oil from ABP for oleochemical purposes
FATD	Fat derivatives
FATF	Rendered fats and fish oil for feeding
FEED	Feed (optionally specify in remarks column)
FIM	Fishmeal
FORMF	Former foodstuffs: products of animal origin/ no longer for human consumption
FRASS	Processed frass
FUR	Fur
GEL	Gelatine
GLYT	Glycerine for technical purposes
GLYF	Glycerine for feeding purposes
GLYTCW	Glycerine for technical purposes derived from catering waste
GATR	Game trophies
GRE	Greaves
HISKR	Raw hides and skins
HISKT	Treated hides and skins
HYDP	Hydrolysed protein (Category 3; indicate animal species)
INSE	Insects including maggots and worms
MANU	Unprocessed manure
MANP	Processed manure, manure products
MBM	Meat and bone meal (Category 1, 2)
MEDD	Medical devices
MIMC	Milk, colostrum and derived products from milk and colostrum
OTHER	Other products (optionally specify in remarks column)
PAP	Processed animal protein (Category 3; indicate animal species); other than BLM, FIM and PAPRUM
PAPOTH	PAP of non-ruminants species (specify species)
PAPRUM	PAP of ruminates species
PETC	Canned petfood
PETFI	Flavouring innards for petfood
PETD	Dogchews
PETP	Processed petfood other than canned petfood
PETR	Raw petfood

PHARM	Pharmaceutical products
RAW	ABP for processing other than raw petfood
RAWSFP	ABP for special feeding purposes or for science and research use (Article 17 and 18 of Regulation (EC) No 1069/2009)
SERE	Serum, blood and blood products from equidae
TCAP	Tricalcium phosphate
TECPRD	Technical product (if not specified elsewhere)
WHBF	Wool, hair, pig bristles, feathers
WWT	Material collected from waste water treatment

ANNEX II

TECHNICAL SPECIFICATIONS FOR THE COMPETENT AUTHORITIES OF THIRD COUNTRIES

Chapter I

Establishments and plants approved or registered in third countries

Section	Type of approved or registered establishment and plants	Product⁶	Certificates / model documents accompanying consignments to be imported to or sent in transit through the European Union⁴
SECTION I	Slaughterhouses and fishery vessels ⁷	Animal by-products to be fed to fur animals	Annex XV, Chapter 3(D)
		Animal by-products for the manufacture of petfood	Annex XV, Chapter 3(F)
		Blood and blood products from equidae to be used outside the feed chain	Annex XV, Chapter 4(A)
		Untreated blood products, excluding of equidae, for derived	Annex XV, Chapter 4(C)

⁶ Columns "product" and "certificates/model documents" are for explanatory purposes and shall not be repeated in the list to be drawn up by the third country under Chapter II.

⁷ For slaughterhouses or fishery vessels approved for products for human consumption, details (including the approval number) may also be used for the purposes of the list of establishment sending products not for human consumption.

		products for purposes outside the feed chain for farmed animals	
		Fresh or chilled hides and skins of ungulates	Annex XV, Chapter 5(A)
		Animal by-products for the manufacture of derived products for uses outside the feed chain	Annex XV, Chapter 8
		Fish for feeding of farmed fish	Certificate under national legislation
SECTION II	Dairy plants	Milk, milk-based products and milk-derived products	Annex XV, Chapter 2(A)
		Colostrum and colostrum products	Annex XV, Chapter 2(B)
SECTION III	Other facility for the collection or handling of animal by-products (i.e. unprocessed/ untreated materials)	Animal by-products for the manufacture of petfood	Annex XV, Chapter 3(F)
		Blood and blood products from equidae to be used outside the feed chain	Annex XV, Chapter 4 (A)
		Fresh or chilled hides and skins of ungulates	Annex XV, Chapter 5(A)
		Pig bristles from third countries or regions thereof that are free from African swine fever	Annex XV, Chapter 7(A)
		Animal by-products for the manufacture of derived products for uses outside the feed chain	Annex XV, Chapter 8
		Bones and bone products (excluding bone meal), horns and horn products (excluding horn meal) and hooves and hoof	Commercial document and declaration Annex XV, Chapter 16

		products (excluding hoof meal) for uses other than as feed material, organic fertiliser or soil improvers	
		Horns and horn products, excluding horn meal, and hooves and hoof products, excluding hoof meal, for the production of organic fertilisers or soil improvers	Annex XV, Chapter 18
		Gelatine not intended for human consumption to be used by the photographic industry	Annex XV, Chapter 19
		Wool and hair	No health certificate is required.
		Treated feathers, parts of feathers and down	No health certificate is required.
SECTION IV	Processing plants	Processed animal protein, including mixtures and products other than petfood containing such protein	Annex XV, Chapter 1
		Blood products that could be used as feed material	Annex XV, Chapter 4(B)
		Treated hides and skins of ungulates	Annex XV, Chapter 5(B)
		Treated hides and skins of ruminants and of equidae (21 days)	Annex XV, Chapter 5(C)
		Pig bristles from third countries or regions thereof that are not free of African swine fever	Annex XV, Chapter 7(B)
		Fish oil to be used as feed material or for purposes outside	Annex XV, Chapter 9

the feed chain

Rendered fats to be used as feed materials Annex XV, Chapter 10 (A)

Rendered fats for certain purposes outside the feed chain for farmed animals Annex XV, Chapter 10(B)

Gelatine or Collagen to be used as feed material or for purposes outside the feed chain Annex XV, Chapter 11

Hydrolysed protein, Dicalcium phosphate or

Tricalcium phosphate to be used as feed material or for purposes outside the feed chain

Apiculture by-products intended exclusively for use in apiculture Annex XV, Chapter 13

Beeswax for purposes other than feeding to farmed animals No health certificate is required.

Fat derivatives to be used outside the feed chain Annex XV, Chapter 14(A)

Fat derivatives to be used as feed or outside the feed chain Annex XV, Chapter 14(B)

Egg products that could be used as feed material Annex XV, Chapter 15

Gelatine not intended for human consumption to be used by the photographic industry Annex XV, Chapter 19

Canned petfood Annex XV, Chapter 3(A)

SECTION V

Petfood plants (including plants manufacturing

	dogchews and flavouring innards)		Processed petfood other than canned petfood	Annex XV, Chapter 3(B)
			Dogchews	Annex XV, Chapter 3(C)
			Raw petfood for direct sale	Annex XV, Chapter 3(D)
			Flavouring innards for use in the manufacture of petfood	Annex XV, Chapter 3(E)
SECTION VI	Game trophies plants		Treated game trophies and other preparations of birds and ungulates, being solely bones, horns, hooves, claws, antlers, teeth, hides or skins	Annex XV, Chapter 6(A)
			Game trophies or other preparations of birds and ungulates consisting of entire parts not having been treated	Annex XV, Chapter 6(B)
SECTION VII	Plants or establishments intermediate products	manufacturing	Intermediate products	Annex XV, Chapter 20
SECTION VIII	Fertiliser and soil improvers		Processed animal protein including mixtures and products other than petfood containing such protein	Annex XV, Chapter 1
			Processed manure, derived products from processed manure and guano from bats	Annex XV, Chapter 17
SECTION IX	Storage of derived products		All derived products	Annex XV, all Chapters except Chapters 3(D), 3(F), 4(C), 5(A) and 8
SECTION X	Blood and blood products, excluding of equidae, for technical purposes other than feed		Untreated blood products, excluding of equidae, for derived products for	Annex XV, Chapter 4(C)

for animals

Treated blood products, excluding
of equidae, for the manufacture of
derived products for purposes
outside the feed chain for farmed
animals

Annex XV, Chapter 4(D)

Chapter II

LAYOUT FOR INDIVIDUAL LISTS OF APPROVED OR REGISTERED PLANTS⁽¹⁾

Last amendment of this list: xx.xx.xxxx

SECTION xxx
[Title of the section⁽²⁾]

Approval number ⁽³⁾	Name	Town ⁽⁴⁾	Region	Category ⁽⁵⁾

- (1) One list per section. The layout is reflected in the TRACES system.
- (2) Number and title of the section as specified in Chapter I of Annex II.
- (3) Approval or registration number, as appropriate.
- (4) Including postal code, as appropriate.
- (5) For the category of animal by-products concerned, insert (in TRACES: choose) "Cat.1" (Category 1), "Cat. 2" (Category 2) and/or "Cat.3" (Category 3), as defined in Regulation (EC) No 1069/2009.